SAE INTERNATIONAL

SAE J3061™
"CYBERSECURITY GUIDEBOOK FOR CYBER-PHYSICAL VEHICLE SYSTEMS"

AGENDA

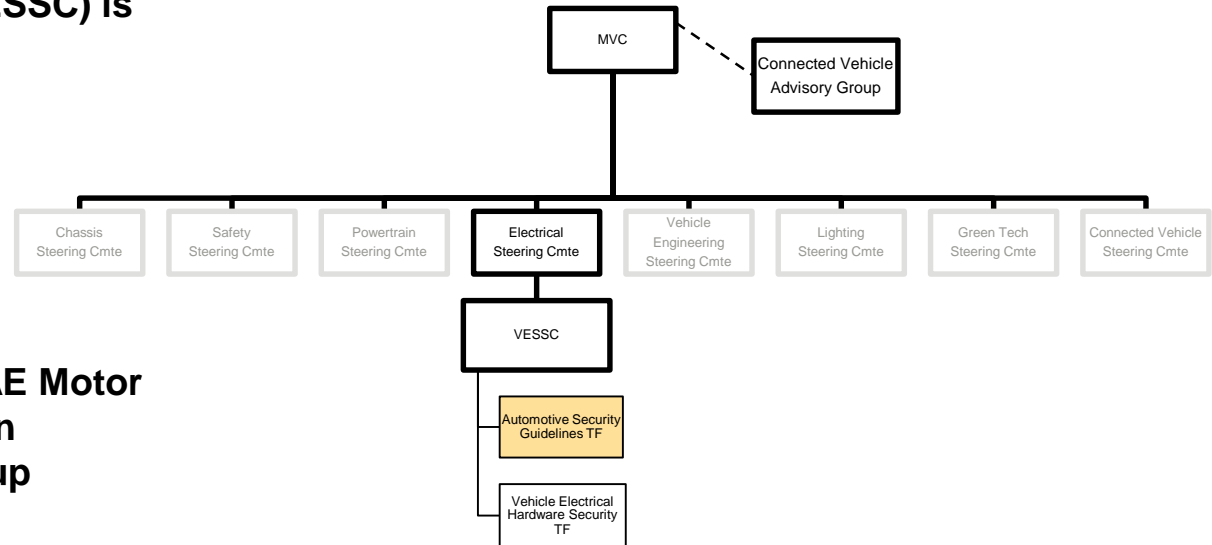Introduction

SAE Vehicle Electrical System Security Committee

Overview of SAE J3061™

J3061™ webinar on December 3

SAE Standards Development

GLOBAL GROUND VEHICLE STANDARDS

609 committees
8,865 members
2,898 companies
1,423 meetings

Committee meetings are **open** to all interested parties, but **only committee members vote** on draft documents.

Individuals participate on committees as technical experts and **not** as representatives of their organizations

SAE Vehicle Electrical System Security Committee (VESSC)

- **The Vehicle Electrical System Security Committee (VESSC) is active since May 5, 2011**

- **Organized under the SAE Motor Vehicle Council (MVC) in Electrical Systems Group**

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                                    MVC  -------- Connected Vehicle
                                     |              Advisory Group
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Chassis  Safety Powertrain Electrical Vehicle Lighting Green Tech Connected Vehicle
Steering Steering Steering Steering  Engineering Steering Steering Steering
 Cmte    Cmte    Cmte     Cmte      Steering  Cmte    Cmte     Cmte
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                            │
                          VESSC
                            │
                   Automotive Security
                     Guidelines TF
                            │
                   Vehicle Electrical
                   Hardware Security
                          TF
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Technology background*

- **About 50% of car manufacturing cost is in electronics**

- **Global data collection from connected cars could rise to 545 petabytes by 2020 up from 345 terabytes in 2013****

- **OTA enabled vehicle sales per year will likely rise to 26.7 million in 2020 from 2.6 million in 2014**

- **Global sales of connected passenger vehicles projected to grow to 77 million units annually by 2022 from about 19 million in 2014 - 73% of vehicles sold will be connected in some way.**

- **Space shuttle - 500k lines of code**
- **Boeing 777 - 3-4 million lines of code**
- **Ford Taurus 2012 - 50+ million lines of code**
- **Today cars - 100 millions lines of code**

* Various forecast sources   *** petabyte=1 million gigabytes, terabyte=1,000 gigabytes)*

Committee charter

"The SAE Vehicle Electrical System Security Committee is responsible for developing and maintaining Recommended Practices and Information Reports in the area of vehicle electrical systems' security. The committee's scope is on-board vehicle electrical systems that affect vehicle control or otherwise act contrary to the occupants' interests if the systems are manipulated by an attacker. The goals of the committee are:

– To identify and recommend strategies and techniques related to preventing and detecting adversarial breaches, and

– Mitigating undesirable effects if a breach is achieved.

The group will classify attack methods, propose preventative strategies, define levels of security by criticality of system type, and identify architecture-level strategies for mitigating attacks. Participants in the Committee include OEMs, suppliers, consulting firms, government entities, and other interested parties."

Interaction with other activities

Other Activities

J3061™ – What is it?

This recommended practice establishes a set of high-level guiding principles for *cybersecurity* as it relates to automotive *cyber-physical systems* to be utilized in series production. This includes:
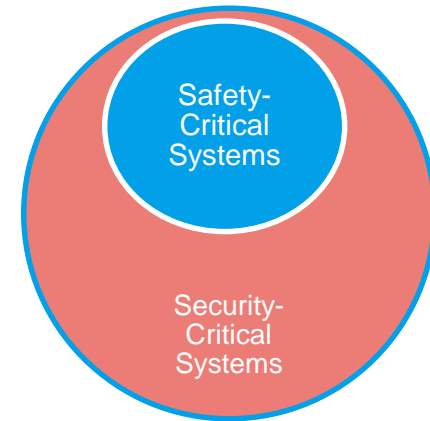
- Defining a framework for a lifecycle process to incorporate cybersecurity into automotive cyber-physical systems.
- Providing information on some common tools and methods used when designing and validating *cyber-physical automotive systems.*
- Providing basic Guiding Principles on Cybersecurity for Automotive Systems.
- Providing the foundation for further standards development activities in vehicle cybersecurity.

J3061™ – When to apply

- J3061™ recommends that a cybersecurity process be applied for all automotive systems that are responsible for functions that are ASIL (Automotive Safety Integrity Level) rated per ISO 26262, or that are responsible for functions associated with:
    - Propulsion
    - Braking
    - Steering
    - Security
    - Safety

- J3061™ also recommends that a cybersecurity process be applied for automotive systems that handle *Personally Identifiable Information (PII)*.

- For other systems that may also be considered cybersecurity-critical cyber-physical automotive systems, an initial short assessment of threats and an initial estimate of risks can be made to determine if the system being considered should follow a cybersecurity process.

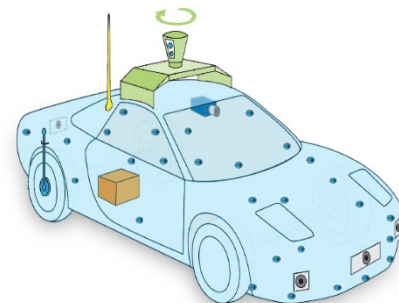# J3061™ – System Safety vs System Cybersecurity

- System safety is the state of a system that does not cause harm to life, property, or the environment.
  - A safety-critical system is a system that may cause harm to life, property, or the environment if the system does not behave as intended or desired

- System cybersecurity is the state of a system that does not allow exploitation of vulnerabilities to lead to losses, such as financial, operational, privacy, or safety losses
  - A security-critical system is a system that may lead to financial, operational, privacy, or safety losses if the system is compromised through a vulnerability that may exist in the system

- All safety-critical systems are security-critical since a cyber-attack either directly or indirectly on a safety-critical system could lead to potential safety losses

- Not all security-critical systems are safety-critical, i.e. entertainment system

- Some systems are both, safety and security critical, i.e. Steering Assist System

Safety-Critical Systems

Security-Critical Systems

J3061™ – System Safety vs System Cybersecurity

- System Safety considers potential hazards to identify safety mechanisms that can be integrated into the design to address the causes of the potential hazards
  - Potential hazards and causes are more readily identified
  - Appropriate action to mitigate the potential consequences, or to eliminate the potential hazards all together can be taken
  - Causes of hazards based knowledge of system, components, and interactions
  - Focus on sub-systems
- System cybersecurity considers potential threats posed by a malicious attacker whose goal is to cause harm, wreak havoc, gain financial benefits, or simply to gain notoriety
  - Cyber Security threats are more difficult to address than potential safety hazards
  - More difficult to try to anticipate the exact moves an attacker may take in order to add appropriate Security Controls to protect against attacker's options.
  - Causes maybe unknown
  - Additional factors in risk assessment: attacker's experience level, attacker's access, attacker's need for special equipment
  - Focus on sub-systems AND electrical architecture (i.e. possible access to safety-critical areas through non-safety-critical area, i.e. CD player)

J3061™ – System Safety vs System Cybersecurity

- Cybersecurity is not concerned with random hardware failures, but it is concerned with systematic hardware vulnerabilities

- Goals are the same – analysis methods may be different:
  - System Safety may utilize detailed hazard analysis technique *Fault Tree Analysis (FTA)*
    - Fault Tree Analysis identifies potential causes of the top hazard event and looks for single-point and multi-point random hardware failures.
  - System cybersecurity may utilize detailed threat analysis technique *Attack Tree Analysis (ATA)*.
    - Attack Tree Analysis we are not concerned with single-point and multi-point random hardware failures, but rather with determining potential paths that an attacker could take through the system to lead to the top level threat.

J3061™ – Guiding Principles

- Know Your System's Cybersecurity Risks
  - Will there be any Sensitive data and/or Personally Identifiable Information (PII) stored on, or transmitted by, your system?
  - What role does your system have (if any) in the safety critical functions of a vehicle?
  - What communications or connections will your system have with entities that are external to the vehicle's electrical architecture?
  - Conduct the appropriate Risk/Threat Analysis

- Understand Key Cybersecurity Principles
  - Protect Personally identifiable information (PII) and Sensitive data
  - Use the principle of "Least Privilege" -- All components run with the fewest possible permissions.
  - Apply "Defense in Depth", particularly for the highest risk threats
  - Prohibit risky changes to calibrations and/or software.
  - Vehicle-Level: Prevent users from making unauthorized changes that could reduce security for the vehicle after it has been sold. Some potential violations of this principle include:
    - "Tuners", Software that may come from a DVD, Bluetooth-paired phone, etc.

J3061™ – Guiding Principles

- Consider Vehicle Owners' Use of System
  - Minimize data collection
  - Enable user policy and control.
  - Protect the storage, usage, and transfer of PII data
  - Provide appropriate notice about data that is collected, stored, or shared

- Implement Cybersecurity in Concept and Design Phases
  - The system should be defined with cybersecurity in mind, starting in the concept phase of the development lifecycle.
  - Analyze threats (i.e., initiated external or internal to the system, and often of malicious intent) to determine what risks and attack surfaces will be faced by the system.
  - Implement cybersecurity analysis and management tools that enable engineers to determine and configure the optimal security level for the system.

J3061™ – Guiding Principles

- Implement Cybersecurity in Development & Validation
    - Review design to assess whether the cybersecurity requirements are being met.
    - Conduct testing to confirm that the requirements that were established for cybersecurity at the beginning of the design phase have been met in the modules/controllers/*ECUs* and in the overall vehicle design.
    - Test software patch/revision deployment tools and processes to ensure that any approved re-flashes to the vehicle software can be done without adversely affecting the vehicle's cybersecurity defenses.

- Implement Cybersecurity in Incident Response
    - Revise (or create) the Incident Response Process so that it comprehends cybersecurity incidents
    - Publish deployment guides
    - Determine how software and/or calibration updates will be made if there is an incident
    - Vehicle-Level: Develop appropriate material for dealerships, customer assistance help lines, websites, and owner's manuals.

- Cybersecurity Considerations at End of Life
    - Determine whether there are any ECUs on the vehicle that need to have SW/HW or Customer Personal Information that needs to be erased to protect the customer, or protect the organization (e.g. immobilizer, cell phone pairing).
    - Provide a method to remove personal information off the vehicle or module upon change of ownership and/or end of vehicle life..
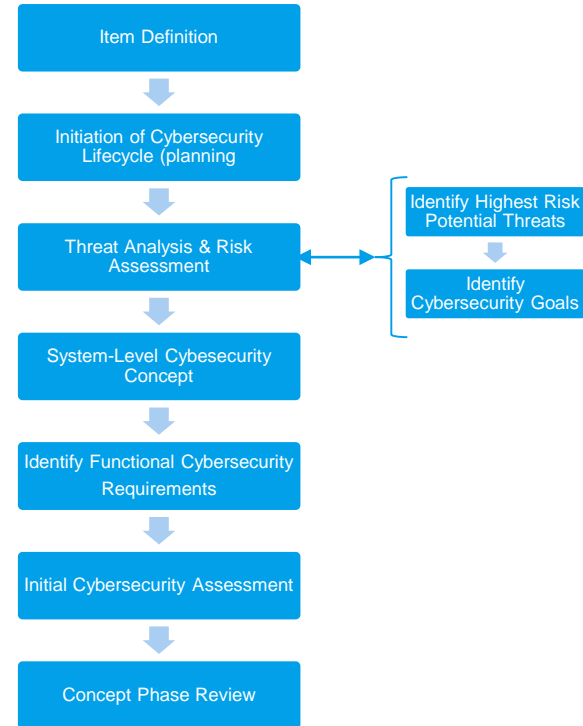
J3061™ – Cybersecurity Process Overview

Management of cybersecurity consists of two aspects:

1. **The overall management of cybersecurity Part of the overall management of cybersecurity includes:**

   – Creating, fostering, and sustaining a cybersecurity culture within the organization,

   – Establishing methods to help ensure compliance to an adopted cybersecurity engineering process,

   – Identifying and establishing needed communication channels with respect to cybersecurity, both internally and externally,

   – Development and implementation of training and mentoring

   – Incorporating an expanded field monitoring process that includes monitoring ***hacker chatter*** (including online and at conferences where potential attacks/vulnerability conversations may occur), reporting unsuccessful attacks, etc., and

   – Incorporating an incident response process is important and should include an attack incident reporting procedure, and attack incident investigation, resolution, and action procedures.

2. **Management of cybersecurity activities within specific stages of the development life cycle.**

J3061™ – Cybersecurity Process Overview

Concept Phase

The initiation of the cybersecurity lifecycle includes development of the Cybersecurity Program Plan that describes the activities to be carried out as part of the cybersecurity lifecycle.

The *Threat Analysis and Risk Assessment (TARA)* activity is used to assess the potential threats to the system and to determine the risk associated with each of the threats.

Item Definition

Initiation of Cybersecurity Lifecycle (planning

Threat Analysis & Risk Assessment

Identify Highest Risk Potential Threats

Identify Cybersecurity Goals

System-Level Cybesecurity Concept

Identify Functional Cybersecurity Requirements

Initial Cybersecurity Assessment

Concept Phase Review
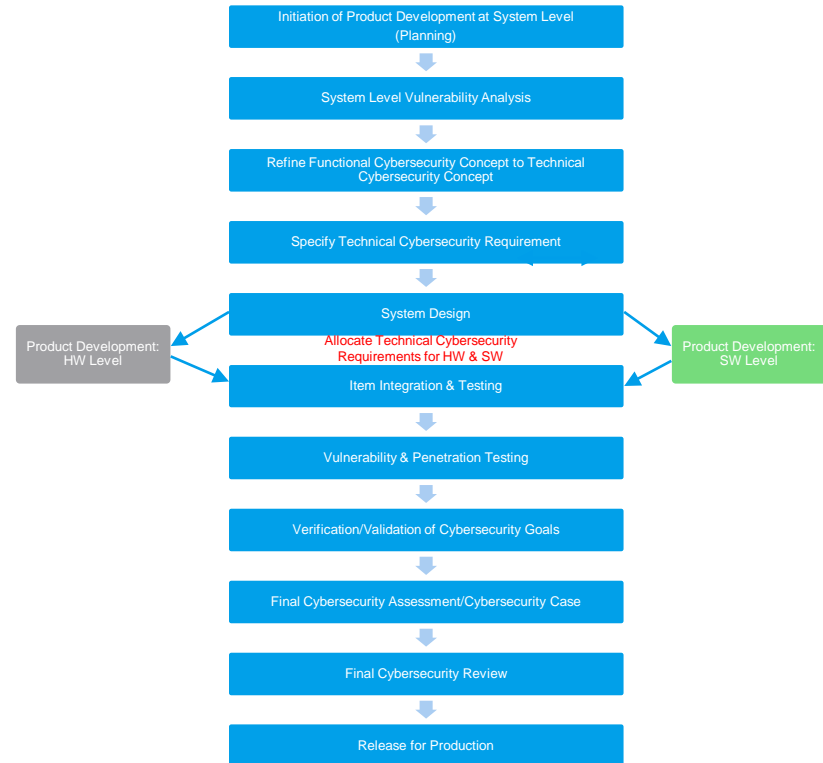
J3061™ – Cybersecurity Process Overview

Product Development: Systems Level

A System Context is created to define the interfaces between the system's hardware and software, the key data flows, storage and processing within the system.

Using the System Context, the system-level technical cybersecurity requirements are then allocated to hardware and software or to both.

Once the technical cybersecurity requirements have been allocated to hardware and/or software, the activities at the Product Development: Hardware Level and Product Development: Software Level can begin

Initiation of Product Development at System Level (Planning)

System Level Vulnerability Analysis

Refine Functional Cybersecurity Concept to Technical Cybersecurity Concept

Specify Technical Cybersecurity Requirement

System Design
Allocate Technical Cybersecurity Requirements for HW & SW

Product Development: HW Level

Product Development: SW Level

Item Integration & Testing

Vulnerability & Penetration Testing

Verification/Validation of Cybersecurity Goals

Final Cybersecurity Assessment/Cybersecurity Case

Final Cybersecurity Review

Release for Production

J3061™ – Cybersecurity Process Overview

Product Development: Hardware Level

Hardware security requirements would be specified from the cybersecurity requirements allocated to hardware during the system level development.
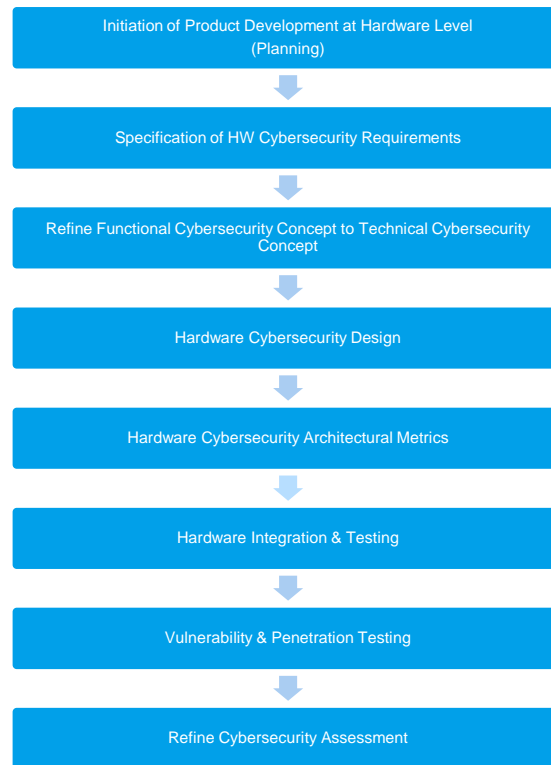
If applicable, the Technical Security Concept could be refined at this stage.

Following hardware design, a vulnerability analysis would be performed to help identify potential vulnerabilities in the design and to help identify potential Security Controls to address the potential vulnerabilities.

If there are appropriate hardware security architectural metrics, these would be identified.

Following hardware integration and testing, vulnerability and penetration testing may be applied to the hardware design.

A cybersecurity assessment is then performed and the preliminary cybersecurity assessment is refined

Initiation of Product Development at Hardware Level (Planning)

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Specification of HW Cybersecurity Requirements

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Refine Functional Cybersecurity Concept to Technical Cybersecurity Concept

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Hardware Cybersecurity Design

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Hardware Cybersecurity Architectural Metrics

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Hardware Integration & Testing

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Vulnerability & Penetration Testing

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Refine Cybersecurity Assessment

J3061™ – Cybersecurity Process Overview

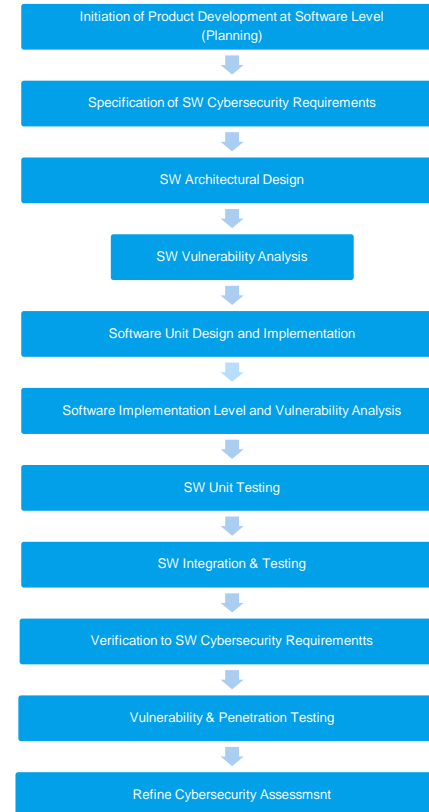## Product Development: Software Level

Software security requirements would be specified from the cybersecurity requirements allocated to software during the system level development.

If applicable, the Technical Security Concept could be refined at this stage.

Following software architectural design, a vulnerability analysis would be performed to help identify potential vulnerabilities in the software architectural design and to help identify potential Security Controls to address the potential vulnerabilities.

Following software unit design and implementation, a software level vulnerability analysis may be performed, followed by software unit testing and software integration and testing.

The software cybersecurity requirements are verified after software integration, and vulnerability and penetration testing may be performed on the software. A cybersecurity assessment is then performed and the previous cybersecurity assessment is refined

| Initiation of Product Development at Software Level (Planning) |
| Specification of SW Cybersecurity Requirementts |
| SW Architectural Design |
| SW Vulnerability Analysis |
| Software Unit Design and Implementation |
| Software Implementation Level and Vulnerability Analysis |
| SW Unit Testing |
| SW Integration & Testing |
| Verification to SW Cybersecurity Requirementts |
| Vulnerability & Penetration Testing |
| Refine Cybersecurity Assessmsnt |

J3061™ – Cybersecurity Process Overview

Production and Operation/Service

The operation phase includes:

- Operation - Any requirements specific to cybersecurity during operation, should be recorded within the appropriate documents (e.g., Vehicle Owner's Operating Manual).
- Service
  - Normal maintenance activities
  - Repair

Maintenance and repair activities that could affect cybersecurity should have been identified in earlier lifecycle phases
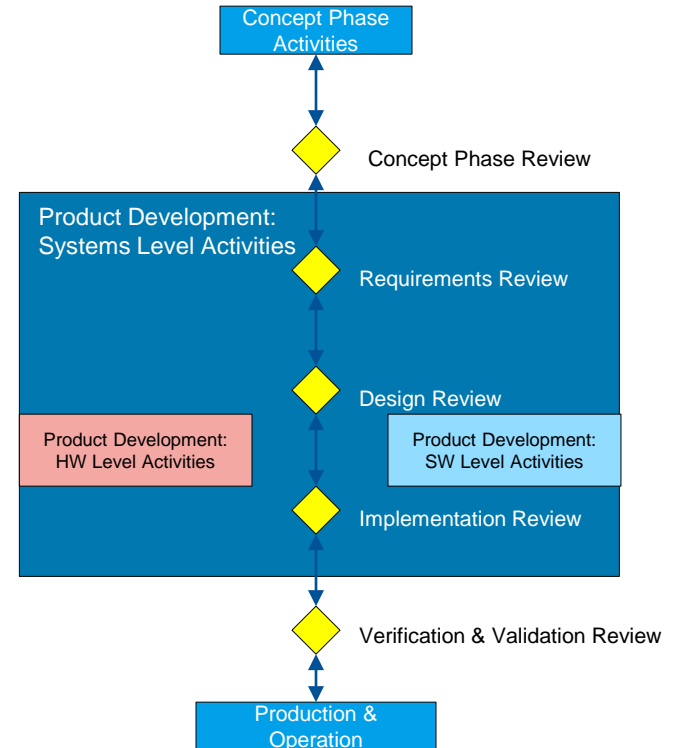
Service that could affect cybersecurity includes re-flashing ECU's, connecting to the on-board diagnostics port, telematics system updates, vehicle/cloud computing interfaces, etc.

Supporting Processes - configuration management, documentation management, change management, management of cybersecurity requirements, requirements for dealing with distributed development should ensure that:

• supplier is capable of developing and producing cybersecurity-critical features according to a customer organizations internal cybersecurity process,

• appropriate communication channels are established and maintained between the supplier and customer,

• cybersecurity work products are agreed to,

• appropriate reviews are established at key milestones with customer access to work products,

• changes that could affect cybersecurity are evaluated and agreed to,

• final cybersecurity case is reviewed and agreed to,

• cybersecurity issues that the supplier may become aware of are reported to the customer in a timely manner, etc.

J3061™ – Cybersecurity Process Overview

Gate reviews

- The gate reviews are intended to help ensure that appropriate activities have been performed and completed correctly and consistently before the next stage of development begins.

- These reviews may be conducted by a small (e.g., 3-4 person) team of technical experts that should ideally be independent of the feature development.

- To maintain consistency and completeness across the feature development, it is recommended that this same 3-4 person team participates in all of the reviews throughout the system development.

- The results of each review may be a pass, or a conditional pass (rework required).

- A gate review should be completed successfully prior to exiting the gate and moving on to the next phase.

Concept Phase Activities

Concept Phase Review

Product Development: Systems Level Activities

Requirements Review

Design Review

Product Development: HW Level Activities

Product Development: SW Level Activities

Implementation Review

Verification & Validation Review

Production & Operation

J3061™ – Overall Management of Cybersecurity

J3061™ provides detailed recommendation on each of the concepts below:

- Creating, fostering, and sustaining a cybersecurity culture that supports and encourages effective achievement of cybersecurity within the organization,
- Establishing methods to help ensure compliance to an adopted cybersecurity engineering process,
- Identifying and establishing needed communication channels with respect to cybersecurity, both internally and externally,
- Development and implementation of training and mentoring to achieve a competence in cybersecurity for cyber-physical vehicle systems,
- Incorporating a field monitoring process that includes monitoring hacker chatter (including online and at conferences where potential attacks/vulnerability conversations may occur), media articles, reporting unsuccessful attacks, etc.,
- Incorporating an incident response process that includes an attack incident reporting procedure, and attack incident investigation, resolution, and action procedures.

J3061™ – Process Implementation

Three types of implementation:

1. Applying a Cybersecurity Process Separately with Integrated Communication Points to a Safety Process
   - Some advantages to this approach are that though the two domains (cybersecurity and safety) have analogous activities from a process perspective, the activities are different and can impact different domains (e.g., cybersecurity often impacts infotainment, which is typically a domain not impacted by safety), and require different types of expertise

2. Applying a Cybersecurity Process in Conjunction with a Safety Process Tailored after ISO 26262
   - Since the cybersecurity process described in this recommended practice is based on the ISO 26262 process framework, tightly integrating the two processes would simply mean to include the cybersecurity activities described in this document for each product lifecycle phase, with the corresponding activities for each product lifecycle phase described in the safety process.

3. Some cybersecurity processes and steps are shared with safety and some that are unique to cybersecurity only.

It is up to the organization to make decision on which is most suitable.

J3061™ explains in detail each approach focusing on concept, product development, production operation and service in software and hardware design

J3061™ Appendix A – Description of Cybersecurity Analysis Techniques

J3061™ appendix A outlines a sampling of security analysis techniques including the methods used by:

- E-Safety Vehicle Intrusion Protected Applications (EVITA) program
- Threat, Vulnerabilities, and implementation Risks Analysis (TVRA) method
- Operationally Critical Threat, Asset, and Vulnerability Evaluation (OCTAVE) method
- HEAling Vulnerabilities to ENhance Software Security and Safety (HEAVENS) method and attack tree information

J3061™ Appendix B – Example templates For Work Products

- In J3061™ Appendix B several examples of a template for an OCTAVE worksheet are provided.

- Appendix B does not give examples of the other methods described in Appendix A, and the Recommended Practice does not, at this time, recommend specific methods.

- Therefore, it is up to each organization to determine whether to use one of the methods described Appendix A or whether to use a different method

You are welcome to attend a webinar on SAE J3061™ "Cybersecurity Guidebook for Cyber-Physical Vehicle Systems"

Session 1 – (9:00 am)
Introduction and Overview of SAE Recommended Practice J3061 "Cybersecurity Guidebook for Cyber-Physical Vehicle Systems"
Two experts will examine the motivation, development, and objectives of SAE J3061, discuss its current status, and offer key definitions.

Speakers:
Lisa Boran, Ford Motor Company – Security Attribute Leader
J3061 Task Force Chairperson and Document Sponsor

Barb Czerny, FCA Group – System Safety Specialist
J3061 Task Force Member

Session 2 – (10:00 am)
Parallels Between J3061 and Functional Safety Lifecycle in ISO 26262
In the second part of the program, an expert on functional safety will review the parallels and contact points between cybersecurity and the wider aspects of systems assurance such as functional safety – in other words, between SAE J3061 and ISO 26262's functional safety lifecycle.

Speaker:
David Ward, HORIBA MIRA – Head of Functional Safety
J3061 Task Force Member

Session 3 – (10:30 am)
Hardware Protected Security for Ground Vehicles and SAE Draft Document J3101
In the third part of the program, the current status of SAE J3101 "Vehicle Electrical Hardware Security" (WiP – Work in Progress) will be explored.

Speaker:
Bill Mazzara
Global Vehicle Cybersecurity
Chrysler Technical Center

Session 4 – (11:00 am)
Overview of NHTSA's Vehicle Cybersecurity Research Program and Goals
In the final part of the program, a NHTSA representative will share information about NHTSA's ongoing cybersecurity research.

Speaker:
Cem Hatipoglu
NHTSA Chief
Electronics Systems Safety – Vehicle Safety Research

https://event.webcasts.com/starthere.jsp?ei=1080592

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https://event.webcasts.com/starthere.jsp?ei=1080592

The event will be recorded for future on-demand viewing

What's next

- **J3061™ team is discussing potential joint work with ISO counterpart to develop one global standard based on J3061™**
- **SAE is developing training program around J3061™ for automotive companies**
- **J3101 – new standard "Vehicle Electrical Hardware Security" is being developed**

Contact

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